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## Glossary of Risk Assessment Related Terms (A - M)

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This glossary contains definitions of terms used frequently in IRIS. It is intended to help users in understanding terms utilized by the U.S. EPA in hazard and dose-response assessments. These definitions are not all-encompassing, but are useful "working definitions". It is assumed that the user has some familiarity with risk assessment in health science. For terms that are not included in this glossary, the user should refer to standard health science, biostatistics and medical textbooks and dictionaries.

**Acceptable Daily Intake (ADI):** The amount of a chemical a person can be exposed to on a daily basis over an extended period of time (usually a lifetime) without suffering deleterious effects.

**Acute exposure:** One dose or multiple doses of short duration spanning less than equal to 24 hours.

**Acute toxicity:** Any poisonous effect produced within a short period of time following exposure, usually 24 to 96 hours.

**Additional Risk (Added, Attributable Risk or Risk Difference) (AR):** The calculated difference in risk of a particular condition between those who are exposed and those who are not. This measure is derived by subtracting the rate (usually incidence or mortality) of the disease among the unexposed persons (Pu) from the corresponding rate among the exposed (Pe), i.e.,  $AR = Pe - Pu$ . The AR is an absolute measure of the excess risk attributed to exposure.

**Adverse Effect:** A biochemical change, functional impairment, or pathologic lesion that affects the performance of the whole organism, or reduces an organism's ability to respond to an additional environmental challenge.

**Aerodynamic Diameter:** The diameter of a sphere with unit density that has aerodynamic behavior identical to that of the particle in question; an expression of aerodynamic behavior of an irregularly shaped particle in terms of the diameter of an idealized sphere. Particles having the same aerodynamic diameter may have different dimensions and shapes.

**Aerosol:** A suspension of liquid or solid particles in air.

**Anecdotal Data:** Data based on the description of individual cases rather than controlled studies.

**Average Daily Dose (ADD):** Dose rate averaged over a pathway-specific period of exposure expressed as a daily dose on a per-unit-body-weight basis. The ADD is usually expressed in terms of mg/kg-day or other mass-time units.

**Background Levels:** Two types of background levels may exist for chemical substances: (a) Naturally occurring levels: Ambient concentrations of substances present in the environment, without human influence; (b) Anthropogenic levels: Concentrations of substances present in the environment due to human-made, non-site sources (e.g. automobiles, industries).

**Benchmark Dose (BMD) or Concentration (BMC):** A statistical lower confidence limit estimate of the dose that produces a predetermined change in response rate of an adverse effect (called the benchmark response or BMR) compared to background.

**Benchmark Response (BMR):** An adverse effect, used to define a benchmark dose at which an RfD (or RfC) can be developed. The change in response rate over background of the BMR is usually in the range of 5-10%, which is the limit of responses typically observed in well-conducted animal experiments.

**Benign tumor:** A tumor that does not spread to a secondary localization, but may interfere with normal biological function through obstruction or may progress to malignancy later.

**Bioassay:** An assay for determining the potency (or concentration) of a substance that causes a biological change in experimental animals.

**Bioavailability:** The degree to which a substance becomes available to the target site after administration or exposure.

**Biologically Based Dose Response (BBDR) model:** A predictive tool used to estimate potential human health risks by describing and quantifying the key steps in the cell/tissue and organismal responses as a result of chemical exposure.

**Blood-to-air Partition Coefficient:** A ratio of a chemical's concentration between blood and air when at equilibrium.

**Cancer:** A disease of heritable, somatic mutations affecting cell growth and differentiation, characterized by an abnormal, uncontrolled growth of cells.

**Carcinogen:** An agent capable of inducing cancer.

**Carcinogenesis:** The origin or production of a benign or malignant tumor. The carcinogenic event modifies the genome and/or other molecular control mechanisms in the target cells, giving rise to a population of altered cells.

**Case-control study:** An epidemiologic study contrasting those with the disease or condition (cases) to those without the disease (controls). The groups are then compared with respect to exposure history, to ascertain whether they differ in the proportion exposed to the chemical(s) under investigation.

**Chronic Effect:** An effect which occurs as a result of repeated or long term (chronic) exposures.

**Chronic Exposure:** Multiple exposures occurring over an extended period of time comprising a significant fraction of the animal's or the individual's lifetime.

**Chronic Study:** A toxicity study designed to measure the (toxic) effects of chronic exposure to a chemical.

**Chronic Toxicity:** The capacity of a substance to cause adverse human health effects as a result of chronic exposure.

**Co-carcinogen:** An agent, when administered with a carcinogen, enhances the activity of the carcinogen.

**Cohort Study (or Prospective Study):** An epidemiologic study comparing those exposed to those without the exposure. These two cohorts are then followed over time to determine the differences in the rates of disease between the exposed subjects.

**Confounder (or Confounding Factor):** A condition or variable that is both a risk factor for disease and associated with an exposure of interest. This association between exposure of interest and the confounder (a true risk factor for disease) may make it appear that the exposure of interest is associated with disease.

**Control Group (or Reference Group):** A group used as the baseline for comparison in epidemiologic studies or laboratory studies. This group is selected because it either has the disease of interest (case-control group) or lacks the exposure of concern (cohort study).

**Critical Concentration:** An ambient chemical concentration expressed in units of  $\mu\text{g}/\text{m}^3$  and used in the operational derivation of the inhalation RfC. This concentration will be the NOAEL Human Equivalent Concentration (HEC) adjusted from principal study data.

**Critical Effect:** The first adverse effect, or its known precursor, that occurs to the most sensitive species as the dose rate of an agent increases.

**Critical Study:** The study that contributes most significantly to the qualitative and quantitative assessment of risk. Also called Principal Study.

**Developmental Toxicity:** Adverse effects on the developing organism that may result from exposure prior to conception (either parent), during prenatal development, or postnatally until the time of sexual maturation. The major manifestations of developmental toxicity include death of the developing organism, structural abnormality, altered growth, and functional deficiency.

**Dose-Response Assessment:** A determination of the relationship between the magnitude of an administered, applied, or internal dose and a specific biological response. Response can be expressed as measured or observed incidence, percent response in groups of subjects (or populations), or as the probability of occurrence within a population.

**Dose-Response Relationship:** The relationship between a quantified exposure (dose) and the proportion of subjects demonstrating specific, biological changes (response).

**Effective Dose (ED<sub>10</sub>):** The dose corresponding to a 10% increase in an adverse response relative to the control response.

**Endpoint:** An observable or measurable biological event or chemical concentration (e.g., metabolite concentration in a target tissue) used as an index of an effect of a chemical exposure.

**Epidemiology:** The study of disease patterns in human populations.

**Estimated Exposure Dose (EED):** The measured or calculated dose to which human

are likely to be exposed considering all sources and routes of exposure.

**Excess Lifetime Risk:** The additional or extra risk of developing cancer due to exposure to a toxic substance incurred over the lifetime of an individual.

**Exposure:** Contact made between a chemical, physical, or biological agent and the boundary of an organism. Exposure is quantified as the amount of an agent available for exchange across the boundaries of the organism (e.g., skin, lungs, gut).

**Exposure Assessment:** An identification and evaluation of the human population exposed to a toxic agent, describing its composition and size, as well as the type, magnitude, frequency, route and duration of exposure.

**Extra Risk (ER):** A calculation of risk of adverse effects which adjusts for background incidence rates of the same effects, by estimating risk at dose  $d$  only among the fraction of the population not expected to respond to the secondary (background) causes:  $(P(d) - P(0)) / (1 - P(0))$ . For example, if the background rate  $(P(0)) = 0.8$  and the response at dose  $d$ ,  $P(d) = .9$ , then  $ER = (0.9 - 0.8) / (1 - 0.8) = 0.1 / 0.2 = 0.5$ . That is, at dose  $d$ , an additional 10% of the population is expected to respond adversely. But since only 10% of the population was expected to be free of adverse effects without the exposure of this 10% represents 50% of the population that would otherwise have been unaffected by this exposure.

**Extrapolation, low dose:** An estimate of the response at a point below the range of experimental data, generally through the use of a mathematical model.

**Forced Expiratory Volume (FEV1):** The volume of air that can be forcibly exhaled in the first second of expiration following a maximal inspiration.

**Forced Vital Capacity (FVC):** The maximal volume of air that can be exhaled as rapidly as possible after a maximal inspiration.

**Frank Effect Level (FEL):** A level of exposure or dose which produces irreversible adverse effects at a statistically or biologically significant increase in frequency or between those exposed and those not exposed.

**Functional Residual Capacity (FRC):** The lung volume at the end of tidal expiration (TLC - IC).

**Gamma (Multi-hit) Model:** A generalization of the one-hit model (see definition) for dose extrapolation. The probability  $P(d)$  that an individual will respond to lifetime, continuous exposure to dose  $d$  is given by

$$P(d) = \{[a^k] / G(k)\} \times \int_0^d \{[t^{k-1}] \exp(-at)\} dt$$

where  $G(k)$  = the gamma function,

$k$  = the number of 'hits' estimated by the model, and

$a$  = fitted coefficient.

**Guidelines (human health risk assessment):** Official, peer-reviewed documents stating current U.S. EPA methodology in assessing risk of harm from environmental pollutants to populations.

**Examples:**

*Proposed Guidelines for Carcinogenic Risk Assessment:* U.S. EPA guidelines intended to guide Agency evaluation of suspect carcinogens. EPA/600/P-92/003C, April 1996.

*Guidelines for Exposure Assessment:* U.S. EPA guidelines intended to guide Agency analysis of potential exposure to chemical substances. 51 FR 22888-22938; May 1986.

*Guidelines for Developmental Toxicity Risk Assessment:* U.S. EPA guidelines intended to guide Agency analysis of developmental toxicity data. 51 FR 34028-34040, October 1986.

*Guidelines for the Health Risk Assessment of Chemical Mixtures:* U.S. EPA guidelines intended to guide Agency analysis of information relating to health effects from exposure to mixtures of chemical substances. 51 FR 34014-34025, September 1986.

*Guidelines for Mutagenicity Risk Assessment:* U.S. EPA guidelines intended to guide Agency analysis of mutagenicity data. 51 FR 34006-34016, September, 1986.

**Hazard:** A potential source of harm.

**Hazard Assessment:** The process of determining whether exposure to an agent could cause an increase in the incidence of a particular adverse health effect (e.g., cancer) and whether the adverse health effect is likely to occur in humans.

**Human Equivalent Concentration (HEC) or Dose (HED):** The human concentration (for inhalation exposure) or dose (for other routes of exposure) of an agent that is believed to induce the same magnitude of toxic effect as the experimental animal species concentration or dose. This adjustment may incorporate toxicokinetic information for a particular agent, if available, or use a default procedure, such as assuming that the doses experienced for a lifetime are proportional to body weight raised to the 0.75 power.

**Incidence:** The number of new cases of a disease that develop within a specified population over a specified period of time.

**Incidence Rate:** The ratio of new cases within a population to the total population given a specified period of time.

**Individual Risk:** The probability that an individual will experience an adverse effect.

**Initiation:** The first stage of carcinogenesis.

**Interspecies Dose Conversion:** The process of extrapolating from animal doses to human equivalent doses.

**Latency Period:** The time between first exposure to an agent and manifestation or detection of a health effect of interest.

**Limited Evidence:** A term used in evaluating study data for the classification of a carcinogen by the 1986 U.S. EPA guidelines for carcinogen risk assessment. This classification indicates that a causal interpretation is credible but that alternative explanations such as chance, bias, and confounding variables could not be completely excluded.

**Linear dose response:** A pattern of frequency or severity of biological response that varies proportionately with the amount of dose of an agent.

**Linearized Multistage Procedure:** A modification of the multistage model, used in estimating carcinogenic risk, that incorporates a linear upper bound on extra risk for exposures below the experimental range.

**Logistic Model:** A dose-response model used for low-dose extrapolation, of the form

$$P(d) = g + ([1 - g]/[1 + \exp(a + bd)])$$

where  $P(d)$  = probability of cancer from lifetime, continuous exposure at dose rate  $d$

$a, b$  = fitted parameters; and

$g$  = background incidence rate.

**Lower limit on Effective Dose 10 (LED10):** The 95% lower confidence limit of the dose of a chemical needed to produce an adverse effect in 10 percent of those exposed to the chemical, relative to control.

**Lowest-Observed-Adverse-Effect Level (LOAEL):** The lowest exposure level at which there are statistically or biologically significant increases in frequency or severity of adverse effects between the exposed population and its appropriate control group. It is referred to as lowest-effect level (LEL).

**Lowest-Observed Effect Level (LOEL or LEL):** In a study, the lowest dose or exposure level at which a statistically or biologically significant effect is observed in the exposed population compared with an appropriate unexposed control group.

**Malignant Tumor:** An abnormal growth of tissue which can invade adjacent or distant tissues.

**Margin of Exposure (MOE):** The LED10 or other point of departure divided by the estimated or projected environmental exposure of interest.

**Mass Median Aerodynamic Diameter (MMAD):** Median of the distribution of air particle mass with respect to the aerodynamic diameter. MMADs are usually accompanied by the geometric standard deviation ( $g$  or  $\sigma_g$ ) which characterizes the variability of the particle size distribution.

**Maximum Likelihood (ML) method, Maximum Likelihood Estimate (MLE):** Statistical method for estimating model parameters. Generally provides a mean or central tendency estimate, as opposed to a confidence limit on the estimate.

**Metastasis:** The dissemination or secondary growth of a malignant tumor at a site distant from the primary tumor.

**Model:** A mathematical function with parameters that can be adjusted so the function closely describes a set of empirical data. A mechanistic model usually reflects observed or hypothesized biological or physical mechanisms, and has model parameters with physical world interpretation. In contrast, statistical or empirical models selected for particular numerical properties are fitted to data; model parameters may or may not have physical interpretation. When data quality is otherwise equivalent, extrapolation from mechanistic models (e.g., biologically based dose-response models) often carries higher confidence than extrapolation using empirical models (e.g., logistic model).

**Modifying Factor (MF):** A factor used in the derivation of a reference dose or reference

concentration. The magnitude of the MF reflects the scientific uncertainties of the and database not explicitly treated with standard uncertainty factors (e.g., the completeness of the overall database). A MF is greater than zero and less than or 10, and the default value for the MF is 1.

**Monte Carlo Technique:** A repeated random sampling from the distribution of va each of the parameters in a calculation (e.g., lifetime average daily exposure), to distribution of estimates (of exposures) in the population.

**Multistage Model:** A mathematical function used to extrapolate the probability of from animal bioassay data, using the form

$$P(d) = 1 - \exp \{-[q(0) + q(1)d + q(2)d^{**2} + \dots + q(k)d^{**k}]\}$$

where:  $P(d)$  = probability of cancer from a continuous, lifetime exposure rate

$q(i)$  = fitted dose coefficients of model;  $i = 0, 1, \dots, k$ ; and

$k$  = number of stages selected through best fit of the model, no greater than one k the number of available dose groups.

**Multistage Weibull Model:** A dose-response model for low-dose extrapolation wh includes a term for decreased survival time associated with tumor incidence:

$$P(d, t) = 1 - \exp \{-[q(0) + q(1)d + q(2)d^{**2} + \dots + q(k)d^{**k}] ([t - t(0)]^{**z})\}$$

where  $P(d, t)$  = the probability of a tumor (or other response) from lifetime, contin exposure at dose  $d$  until age  $t$  (when tumor is fatal);

$q_i$  = fitted dose parameters,  $i = 0, 1, \dots, k$ ;

$k$  = no greater than the number of dose groups - 1;

$t(0)$  = the time between when a potentially fatal tumor becomes observable and causes death ( $t(0) \geq 1$ ); and

$z$  = fitted time parameter (also called "Weibull" parameter).

**Mutagen:** A substance that can induce an alteration in the structure of DNA.

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